

Online Training Programme on Regulatory Compliance for Branch Management

July 27 – 31, 2020

(Online Course Duration 15 hours)

Target Group

- ❖ Branch Managers
- ❖ New Branch Incumbent
- ❖ Second-line Branch Officers
- ❖ Potential Branch Managers

Coordinators

Dr Naveen Kumar K

Assistant Professor

(Rural Finance and Development Area Group)

Dr Rakesh Gupta

Industry Expert as Assistant Professor

(Finance Area Group)



**National Institute of
Bank Management
Pune, India**

In recent years, managing regulatory compliance has become an enormously challenging for banks. The scope and nature of compliance have evolved and are no longer limited to rules-based banking regulations. Operational and compliance risks have become more complex and intertwined, increasing the potential for failed processes that cause customer confusion and compliance control breakdowns in banks.

Bank branches are the business centers and plays critical role in managing the regulatory compliances. Bank branches, the interface between banks and customers, have also changed drastically from being operations-centric to servicing clients.

In the recent past, the regulators have put penalties on various banks on account of non-compliances of regulatory guidelines on various basic banking operations like opening the accounts, KYC guidelines, money laundering, reporting of frauds, clean note policy, reporting of large credits to repository, and so on. In this context, branch management needs a holistic understanding as well as skill sets to deliver the desired results. Understanding the 'rule of game' is very important for managers, due to dynamic nature of compliances and regulatory issues in managing the branches.

Objectives

The objectives of the programme is to understand emerging regulatory and compliance issues in bank branch management and prepare the suitable skill sets to address the same.

Content

- Issues in Branch Management and Expectations from Branch Managers**
- Regulatory Expectations and various Areas of Compliances**
- Prevention of Money Laundering, KYC Compliances and Penalties**
- Frauds and its Prevention**
- Areas of Branch Audit Lapses and Prevention Measures**
- Management Ethics and its Relevance in Branch Banking**
- Loan Documentation and Legal Aspects of Lending**
- Case Studies on Effective Branch Management**

Modalities

This online course will have 15 hours of engagement time spread over a maximum 5 days, which includes several self-paced study and live interactions of participants. The courseware will include the following:

- I. Reading material for self-study, Case study or exercise material and Online references
- II. Video sessions comprising of:
 - i. Pre-recorded videos sessions on several topics.
 - ii. Live video sessions by faculty or guest lecturers, for discussions, clarification of doubt, etc.
- III. Self-assessment to track progress in the course will be provided.

Participants enrolled to the programme will be provided with log-in id and password to enter into the learning management platform of the institute. Guidance will be provided for navigating through the various activities in the platform such as accessing courseware, viewing video sessions, participating in live sessions, taking quizzes, etc. The programme window will be open for maximum 5 days including date of commencement.

Live session schedule will be provided at the start of the programme.

Executives attending the programme would need internet access on a desktop or laptop with Google Chrome to enable access to live and recorded sessions.

Completion Certificate

A completion certificate will be given to the participant at the end of the programme. Participant has to remain logged in for at least the total number of engagement hours within the duration of the programme for being considered to have completed the same.

Nominations and Enquiries

Nominations are invited from both Institutions and Individuals from India and Abroad. Executives working in Banks/Financial Institution/Consulting Firms/Technology Firms in the Banking and Financial Services domain can apply for the program in their individual capacity.

Please address your enquiries and nominations to:

Dr Naveen Kumar K

Dr Rakesh Gupta

Programme Coordinators

National Institute of Bank Management

NIBM Post Office, Kondhwe Khurd

Pune 411 048 (INDIA)

Tel. : 0091-20-26716000 (EPABX)

E-mail : naveen@nibmindia.org, rakeshgupta@nibmindia.org

For further details, visit us at Website: www.nibmindia.org

Last Date for Receiving Nominations: July 25, 2020

Programme Fee (per participant) for 15 hours programme

US \$ 600 for foreign participant

	Fee	GST	Fee+GST	TDS
Member Banks :	13200	2376	15576	1320
Non-Member Banks :	15500	2790	18290	1550
Individual Nominee :	15500	2790	18290	----

The fee includes the cost of tuition, access to reading material and recorded videos, etc. (Central Goods and Services Tax (GST) @ 18%, and TDS @ 10%. Kindly send the TDS Certificate on priority to NIBM).

Mode of Payment for Indian Participants

- The fee may preferably be transferred by RTGS/NEFT/ECS to our A/c No. 20002400021 with Bank of Maharashtra, NIBM Branch, Pune (IFSC Code MAHB0001124). NIBM PAN No. AAATN0040P and GSTIN No. 27AAATN0040P1ZJ.
- National Institute of Bank Management
NIBM Post Office, Kondhwe Khurd, Pune 411 048, INDIA.

Mode of Payment for Foreign Participants

Mode of Remittance: SWIFT*

- Name & Address of our Bankers : Oriental Bank of Commerce
C-2, Shop No. 4-5, Bramha Estate
Kondhwe Khurd, Pune 411 048
Maharashtra, India
- Name of the Account : National Institute of Bank Management
- NIBM's Bank Account No. with Oriental Bank of Commerce : Current A/C 11281131004402
- Bank's Swift Code : ORBCINBBFCP
- Oriental Bank of Commerce A/c No. with Correspondent Bank : 36152559
- Preferred currency : USD
- Correspondent Bank : CITIBANK N.A.
- Swift code for Citi Bank : CITIUS33

*** The Foreign Bank Charges/ SWIFT charges/Commission is to be borne by the remitter. The fees mentioned in the invoice/brochure is to be paid to NIBM, net of all bank charges.**

***Payments will be accepted only through electronic mode.
Cheques/DDs/Pay Orders will not be accepted.**

- For all electronic remittances, kindly send a confirmatory e-mail at: accounts@nibmindia.org giving details of the remitter and participant, name and dates of programme, etc.